

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gao et al.  
Appl. No.: To be assigned  
Filed: Concurrently herewith  
For: POSITIVE ELECTRODE ACTIVE MATERIALS FOR  
SECONDARY BATTERIES AND METHODS OF PREPARING SAME



October 29, 2001

Commissioner for Patents  
Washington, DC 20231

#  
3-1302

**INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Andrew T. Meunier".

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Date of Deposit: October 29, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.

A handwritten signature in cursive script, appearing to read "Elaine Kelly".  
Elaine Kelly

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number		To be assigned
				Filing Date		Concurrently herewith
				First Named Inventor		Gao et al.
				Group Art Unit		
Examiner Name						
Sheet	1	of	1	Attorney Docket Number 30208.240386		

J1017 U.S. Pat.  
 10/040047  
 10/29/01

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number	Kind Code (if known)			
	1	4,465,747	•	Evans	08-14-84	
	2	4,992,342	•	Singh et al.	02-12-91	
	3	5,342,712	•	Mieczkowska et al.	08-30-94	
	4	5,429,890	•	Pynenburg et al.	07-04-95	
	5	5,443,929	•	Yamamoto et al.	08-22-95	
	6	5,478,675	•	Nagaura	12-26-95	
	7	5,919,588	•	Jose et al.	07-06-99	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if known)			
	8	JP	7-192721	• A	Sanyo Electric Co., Ltd.	07-28-95	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	9	CHOBLET ET AL., <i>Two-Phase LiCoO2 Oxides for Rechargeable Lithium Batteries</i> , Electrochemical and Solid-State Letters, 4 (6) A65-A67 (2001). •		
	10	C.C. LIANG, <i>Conduction Characteristics of the Lithium Iodide-Aluminum Oxide Solid Electrolytes</i> , Journal of the Electrochemical Society, Vol. 120, No. 10, pp. 12891292, October 1973. •		

CLT01/4503912v1

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.